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Application Number	09/304,967 (U.S. 6,884,623)
Filing Date	May 5, 1999 (Issued April 26, 2005)
First Named Inventor	George P. Lomonosoff
Title	MODIFIED PLANT VIRUSES AS VECTORS OF HETEROLOGOUS PEPTIDES
Art Unit	1636
Examiner Name	David Guzo
Attorney Docket Number	38194-715.501

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.**OR**☒ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

021971

OR☐ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number.**OR**☒ The address associated with Customer Number: 021971**OR**☐ Firm or Individual Name

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I am the:

☐ Applicant/Inventor.**OR**☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on _____

SIGNATURE of Applicant or Assignee of Record

Signature	<i>Henry Talbot</i>	Date	<i>March 11, 2010</i>
Name	<i>Henry Talbot</i>	Telephone	<i>858 352 4434</i>
Title and Company	<i>VP Research and Operations, Pfizer Inc</i>		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.☒ *Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: George P. Lomonossoff, et al.Application No./Patent No.: 09/304,967 / 6,884,623 Filed/Issue Date: May 5, 1999 / April 26, 2005Entitled: MODIFIED PLANT VIRUSES AS VECTORS OF HETEROLOGOUS PEPTIDESPfenex, Inc., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title and interest in
(The extent (by percentage) of its ownership interest is ___%); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel ___, Frame ___, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

George P. Lomonossoff; John E.
Johnson; Mary Bendig; Tim Jones;

1. From: Marian Longstaff To: Axis Genetics PLC

The document was recorded in the United States Patent and Trademark Office at
Reel 010581, Frame 0457, or for which a copy thereof is attached.

2. From: Axis Genetics PLC To: The Dow Chemical Company

The document was recorded in the United States Patent and Trademark Office at
Reel 010801, Frame 0006, or for which a copy thereof is attached.

Dow Global Technologies, Inc.;
3. From: The Dow Chemical Company To: Pfenex, Inc.

The document was recorded in the United States Patent and Trademark Office at
Reel 023922, Frame 0301, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Henry Talbot
Signature

Henry Talbot
Printed or Typed Name

March 11, 2010
Date

VP Research and Operations
Title Pfenex Inc

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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